

OCT 17 2003

1744

EXPRESS MAIL NO. EV347011208US

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**(To be used for all correspondence  
after initial filing)

Application Number	09/883,091
Filing Date	June 15, 2001
First Named Inventor	Edmund Y. Ting
Group Art Unit	1744
Examiner Name	Monzer R. Chorbaji
Attorney Docket No.	340058.535

RECEIVED

OCT 21 2003

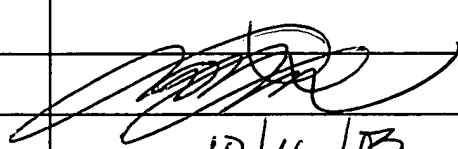
TC 1700

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input checked="" type="checkbox"/> Cited Reference <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Additional Enclosure(s) (please identify below): _____ _____ _____
--	---	--

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Individual Name	Lorraine Linford	Customer Number
		00500
Signature		
Date	10/16/03	

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date specified below.

Typed or printed name	***SENT VIA EXPRESS MAIL***	
Signature		Date:



EXPRESS MAIL NO. EV347011208US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **RECEIVED**

OCT 21 2003

TC 1700

Applicants : Edmund Y. Ting et al.  
Application No. : 09/883,091  
Filed : June 15, 2001  
For : METHOD AND APPARATUS FOR HIGH PRESSURE  
TREATMENT OF SUBSTANCES UNDER CONTROLLED  
TEMPERATURE CONDITIONS

Examiner : Monzer R. Chorbaji  
Art Unit : 1744  
Docket No. : 340058.535  
Date : October 16, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the reference set forth on the attached form PTO-1449 (a copy of the cited reference, as required under 37 C.F.R. § 1.98, is enclosed). As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid reference is made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

We hereby certify that the reference set forth on the attached form PTO-1449 was first cited in a communication from a foreign patent office in a counterpart foreign application and that this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of this Supplemental Information Disclosure Statement.

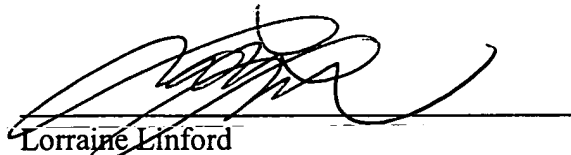
Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited reference of record in the above-identified application.

Applicants believe this Supplemental Information Disclosure Statement has been timely filed, however, the Commissioner is authorized to charge any fee due by way of this Supplemental Information Disclosure Statement to our Deposit Account No. 19-1090.

Respectfully submitted,

Edmund Y. Ting et al.

Seed Intellectual Property Law Group PLLC

A handwritten signature in black ink, appearing to read 'Lorraine Linford', is written over a horizontal line.

Lorraine Linford  
Registration No. 35,939

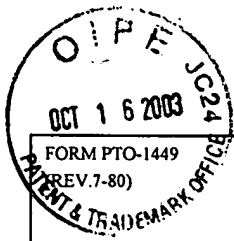
LL:ad

Enclosures:

Postcard  
Form PTO-1449  
Cited Reference

701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092  
Phone: (206) 622-4900  
Fax: (206) 682-6031

D:\NrPortb\NiManage\ANDREAD\394723\_1.DOC



EXPRESS MAIL NO. EV347011208US

Sheet 1 of 1

FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
340058.535APPLICATION NO.  
09/883,091SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)APPLICANTS  
Edmund Y. Ting et al.FILING DATE  
June 15, 2001GROUP ART UNIT  
1744

RECEIVED

OCT 21 2003

TC 1700

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,257,341	10/26/93	Austin, Jr. et al.	392	487	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AN					
	AO					
	AP					
	AQ					
	AR					
	AS					

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AT	
--	----	--

EXAMINER

DATE CONSIDERED